

Catalyst-Dependent Stereodivergent and Regioselective Synthesis of Indole-Fused Heterocycles through Formal Cycloadditions of Indolyl-Allenes

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Supporting Information

ABSTRACT: Stereo- and regioselective construction of polyheterocycles, especially those with several contiguous stereocenters, is still a challenge. In this paper, catalyst-dependent stereodivergent and regioselective synthesis of indole-fused heterocycles through formal cycloadditions of indolyl-allenes has been developed. The reaction features total reversion of an all-carbon quaternary stereocenter when a gold or platinum complex was employed as the catalyst through [3 + 2]cycloaddition of allene with indole, affording different diazabenzo[*a*]cyclopenta[*cd*]azulenes as epimers, respectively. In addition, in the presence of IPrAuCl and AgNTf₂, highly



regioselective *exo*-type [2 + 2] cycloaddition was observed, in which allene served as a 2C synthon. This methodology provides a simple and straightforward approach for the construction of indole-fused tricyclic systems under mild conditions in an atomeconomical way.

INTRODUCTION

Indole fused poly-heterocycles, as constituents of diverse natural alkaloids and pharmaceutical agents, have drawn much attention of organic and bioorganic chemists during the past several decades.¹ In the realm on the development of synthetic methodology toward such compounds, intramolecular cycloaddition of allene as a 3C or 2C synthon with indole is a viable strategy to achieve this goal. Indeed, several elegant works have been done using allenes as three-carbon components in transition-metal-catalyzed [3 + n] cycloaddition.² For instance, Gagosz and co-workers started the pioneering work by reporting a formal Au-catalyzed [3 + 2]intramolecular cyclization of enynyl acetates, which went through allenyl ester intermediates.³ Zhang et al. showed a Pt-catalyzed [3 + 2] annulation of allenyl esters generated in situ via a 3,3-rearrangement of propargyl precursors.⁴ Additionally, under varied reaction conditions, the alternative [2 + 2] and [4 + 2] cycloadditions could be selectively induced, in which the employed allenes reacted with other substances through one of the two double bonds.⁵ On the basis of these elegant studies as well as our ongoing efforts in exploring novel synthetic routes for indole-fused scaffolds,⁶ we envisaged that indolyl-allene substrates 1 could undergo a certain cycloaddition under Au or Pt catalysis.^{7,8}

However, due to the multiple reactive sites of allenes, there are several significant challenges to realize the desired reaction

with respect to chemo- and stereoselectivity. As for the intramolecular [3 + 2] cycloaddition, to stereocontrol the all-carbon quaternary stereocenter is very difficult (Scheme 1a). Moreover, it is also troublesome to regioselectively control the *endo-* or *exo-*type in [2 + 2] cycloaddition (Scheme 1b). In addition, the competition of [3 + 2] and [2 + 2] cycloadditions remains the third one. Thus far, none of the reactions through a particular starting material aimed to tackle all of these challenges has been reported. Herein, we present novel





Received: February 25, 2015 Published: June 5, 2015 examples on the controllable [3 + 2] and [2 + 2] cycloaddition of indolyl-allenes 1, affording divergent synthesis of indolefused heterocycles 2, 3, and 4: the all-carbon quaternary stereocenter in products 2 and 3 could be controlled by employing different Au or Pt catalysts, respectively (Scheme 2a and b), while the *exo*-type [2 + 2] cycloaddition was realized in the presence of the [IPrAuCl]/AgNTf₂ catalytic system (Scheme 2c).

Scheme 2. Controllable Au- and Pt-Catalyzed Cycloaddition of Indolyl-Allenes



RESULTS AND DISCUSSION

We first investigated the reaction of N-(2-(1H-indol-1-yl)ethyl)-N-(4-cyclopropyl-2-methylbuta-2,3-dien-1-yl)-4-methylbenzenesulfonamide **1a** in the presence of various catalysts, and the results are summarized in Table 1. Initially, the use of PtCl₂

 Table 1. Optimization of Conditions for Transition-Metal-Catalyzed Cycloaddition Reactions

1a: R = 0 1b: R = 1	NTs cycloprop Me	Cat. (5 mol%) 4A MS, DCE 70 °C, Time 2 yl	Me NTs	R N 3	ne NTs 4	Me
(a)	4	entelvet	time [b]		yield [%] ^[b]	
entry		catalyst	time [n]	2	3	4
1	1a	PtCl ₂	12	2a , 86 ^[c]	3a , 0	4a , 0
2	1a	[IPrAuCI]/AgNTf2	12	2a , 0	3a , 62	4a , 25
3	1a	AgNTf ₂	12	2a , 0	3a , 0	4a , 0
4 ^[d]	1a	[Ph ₃ PAuCI]/AgNTf ₂	12	2a , 0	3a, trace	4a , 26
5 ^[e]	1a	[Me ₃ PAuCI]/AgNTf ₂	12	2a , 0	3a, trace	4a , 20
6	1a	[CySPhosAuCl]/AgNTf2	6	2a , 0	3a , 67	4a , 26
7	1a	[CyJohnPhosAuCI]/AgNTf2	6	2a , 0	3a , 73	4a , 20
8	1a	[JohnPhosAuCl]/AgNTf2	6	2a , 0	3a , 95	4a , 5
9	1a	[JohnPhosAuCI]/AgOTf	6	2a , 0	3a , 94	4a , 4
10	1a	[JohnPhosAuCl]/AgSbF ₆	6	2a , 0	3a , 86	4a , 7
11	1a	[JohnPhosAu]NTf ₂	6	2a , 0	3a , 95 (93) ^[c]	4a , 4
12	1b	[IPrAuCI]/AgNTf ₂	12	2b , 0	3b, trace	4b , 85 ^[c]

^{*a*}The reaction was conducted with 1 (0.1 mmol) and 50 mg of 4 Å MS in DCE (1.0 mL). ^{*b*}NMR yield using 1,3,5-trimethoxybenzene as the internal standard. ^{*c*}Isolated yield. ^{*d*}72% of starting material 1a was observed. ^{*e*}78% of starting material 1a was observed.

afforded the [3 + 2] cycloadduct **2a** in 86% isolated yield as a single isomer (Table 1, entry 1). Unexpectedly, when [IPrAuCl]/AgNTf₂ was employed as the catalyst, the diazabenzo[*a*]cyclopenta[*cd*]azulene **3a** was obtained in 62% yield along with the [2 + 2] cycloadduct **4a** in 25% yield (Table 1, entry 2). Notably, **3a** was the epimer of **2a**, which aroused our interest in exploring these diverse reaction patterns. The control experiment indicated that AgNTf₂ had no catalytic activity in this reaction (Table 1, entry 3). Then, in the presence of [Ph₃PAuCl]/AgNTf₂, the [2 + 2] cycloadduct **4a** was obtained in 26% yield along with 72% of starting material

1a (Table 1, entry 4). Replacing [Ph₃PAuCl]/AgNTf₂ with [Me₃PAuCl]/AgNTf₂ gave similar reaction outcomes (Table 1, entry 5). Subsequent gold catalyst screening revealed that [JohnPhosAuCl]/AgNTf₂ exhibited the best catalytic performance, furnishing the desired adduct 3a in 95% yield (Table 1, entries 6-8). Further examination of silver salts disclosed that AgNTf₂ was the best choice for the formation of 3a (Table 1, entries 8-10). As expected, the use of [JohnPhosAu]NTf₂ produced the corresponding product 3a in 93% isolated yield (Table 1, entry 11). To our delight, the reaction of 1b afforded the desired [2 + 2] cycloadduct 4b in 85% isolated yield when [IPrAuCl]/AgNTf₂ was employed as a catalyst (Table 1, entry 12). Thus, the different reaction conditions for selective synthesis of 2, 3, and 4 have been smoothly optimized, respectively (Table 1, entries 1, 11, and 12). The structures of 2a, 3a, and 4a have been unequivocally confirmed by X-ray diffractions.9

With the optimal reaction conditions in hand, we next sought to expand the substrate scope of this platinum-catalyzed cyclization. As can be seen from Table 2, the substrate scope of this synthetic protocol was broad. For substrates 1b-1h bearing various R^1 and R^2 substituents, the reactions proceeded smoothly to deliver the functionalized diazabenzo [a]cyclopenta[cd]azulenes 2b-2h in 54-85% yields (Table 2, entries 1-7), while a messy reaction result was observed when substrate 1i was examined, presumably due to the steric hindrance of the 2,4,6-trimethylphenyl group (R^2) (Table 2, entry 8). Substrate 1j with a longer carbon tether (n = 2) was also suitable for this cycloaddition, giving the desired eightmembered heterocyclic adduct 2j in 79% yield (Table 2, entry 9). At the same time, the corresponding heterocyclic product 2k was also obtained in 79% yield when 1k bearing a NBs group (Bs = 4-bromobenzenesulfonyl) was utilized as the substrate (Table 2, entry 10). Substrate 11 with an oxygen linker provided the desired adduct 2l in 30% yield (Table 2, entry 11), while 1m with a carbon linker gave a messy reaction result (Table 2, entry 12). In the cases of substrates 1n-1q, the electronic properties of R³ had no significant impact on the reaction outcomes and the desired cycloadducts 2n-2q were furnished in 60-72% yields (Table 2, entries 13-15).

Furthermore, the formation of epimeric product 3a in the presence of [JohnPhosAu]NTf2 inspired us to explore the substrate generality, and the results are exhibited in Table 3. Among a diverse array of tosylamide-linked substrates 1b-1h (X = NTs), different R¹ and R² groups were tested, giving the desired [3 + 2] annulation products **3b**-**3h** in 23-74% yields along with the [2 + 2] cycloadducts 4b-4h in 13-54% yields (Table 3, entries 1-7), while for substrate 1i bearing a large 2,4,6-trimethylphenyl group (R^2) , the [3 + 2] cycloadduct 3i was obtained in 21% yield along with 71% of starting material 1i (Table 3, entry 8). As for substrate 1j, the corresponding eight-membered heterocyclic product 3j was delivered in 88% yield (Table 3, entry 9). Moreover, for substrate 1k with X as the NBs anchor, the corresponding product 3k was obtained in 91% yield (Table 3, entry 10), while substrates 11 and 1m with oxygen and carbon linkers provided the desired adducts 31 and 3m successfully as well, albeit in relatively low yields (Table 3, entries 11-12). In addition, substrates 1n and 1r-1u with a series of substituents on the indole ring (R^3) were also welltolerated, furnishing the corresponding diazabenzo[a]cyclopenta [cd] azulenes 3n-3r in 88-96% yields (Table 3, entries 13-17).

Table 2. Substrate Scope for Pt-Catalyzed [3 + 2] Cyclization

entry ^a 1 X n \mathbb{R}^1 \mathbb{R}^2 \mathbb{R}^3 2, yield ^b 1 1b NTs 1 Me Me H 2b, 71 2 1c NTs 1 Et Me H 2c, 81 3 1d NTs 1 "Pr Me H 2d, 62	
1 1b NTs 1 Me Me H 2b, 71 2 1c NTs 1 Et Me H 2c, 81 3 1d NTs 1 "Pr Me H 2d, 62	%)
2 1c NTs 1 Et Me H 2c, 81 3 1d NTs 1 "Pr Me H 2d, 62	
3 1d NTs 1 "Pr Me H 2d, 62	
4 1e NTs 1 cyclobutyl Me H 2e , 85	
5 1f NTs 1 CH ₂ CH ₂ Ph Me H 2f, 54	
6 1g NTs 1 cyclopropyl Et H 2g , 69	
7 1h NTs 1 cyclopropyl "Bu H 2h , 56	
8 1i NTs 1 cyclopropyl 2,4,6-TriMePh H 2i , comple	ex
9 1j NTs 2 cyclopropyl Me H 2 j, 79	
10 1k NBs 1 cyclopropyl Me H 2k , 79	
11 ^c 11 O 1 cyclopropyl Me H 21, 30	
12 1m C 1 cyclopropyl Me H 2m , comp	lex
13 1n NTs 1 cyclopropyl Me 5-OMe 2n , 71	
14 10 NTs 1 Et Me 5-CI 20 , 66	
15 1p NTs 1 Et Me 6-F 2p , 72	
16 1q NTs 1 Et Me 7-Me 2q , 60	

^aThe reaction was conducted with 1 (0.1 mmol), PtCI₂ (5 mol %), and 50 mg of 4 Å MS in DCE (1.0 mL). ^bIsolated yield. ^c10 mol % Tris(pentafluorophenyl)phosphine was added.

Table 3. Substrate Scope for Au-Catalyzed [3 + 2] Cycloaddition



entry1Xn \mathbb{R}^1 \mathbb{R}^2 \mathbb{R}^3 311MeMeH3b, 742 ^c 1cNTs1EtMeH3c, 613 ^c 1dNTs1"PrMeH3d, 4041eNTs1cyclobutylMeH3e, 7051fNTs1CH ₂ CH ₂ PhMeH3g, 71619NTs1cyclopropylEtH3g, 7171hNTs1cyclopropyl"BuH3h, 528 ^d 1iNTs1cyclopropylMeH3j, 88101kNBs1cyclopropylMeH3j, 881111O1cyclopropylMeH31, 60121mC1cyclopropylMeH3m, 38	yield ^b (%)	
1^c IbNTs1MeMeH $3b, 74$ 2^c IcNTs1EtMeH $3c, 61$ 3^c IdNTs1"PrMeH $3d, 40$ 4IeNTs1cyclobutylMeH $3e, 70$ 5IfNTs1 CH_2CH_2Ph MeH $3g, 71$ 619NTs1cyclopropylEtH $3g, 71$ 7IhNTs1cyclopropyl"BuH $3h, 52$ 8^d IiNTs1cyclopropylMeH $3j, 88$ 10IkNBs1cyclopropylMeH $3k, 91$ 11I1O1cyclopropylMeH $3l, 60$ 12ImC1cyclopropylMeH $3m, 38$	4	
2^c IcNTs1EtMeH $3c, 61$ 3^c IdNTs1"PrMeH $3d, 40$ 4IeNTs1cyclobutylMeH $3e, 70$ 5IfNTs1 CH_2CH_2Ph MeH $3f, 23$ 619NTs1cyclopropylEtH $3g, 71$ 7IhNTs1cyclopropyl"BuH $3h, 52$ 8^{cd} IiNTs1cyclopropylMeH $3j, 21$ 9IjNTs2cyclopropylMeH $3j, 88$ 10IkNBs1cyclopropylMeH $3l, 60$ 11I1O1cyclopropylMeH $3n, 38$ 12ImC1cyclopropylMeH $3m, 38$	4b, 18	
3 ^c 1d NTs 1 "Pr Me H 3d, 40 4 1e NTs 1 cyclobutyl Me H 3e, 70 5 1f NTs 1 CH2CH2Ph Me H 3f, 23 6 19 NTs 1 cyclopropyl Et H 3g, 71 7 1h NTs 1 cyclopropyl "Bu H 3i, 21 8 ^d 1i NTs 1 cyclopropyl "Bu H 3j, 88 10 1j NTs 2 cyclopropyl Mee H 3j, 88 10 1k NBs 1 cyclopropyl Me H 3l, 60 11 11 O 1 cyclopropyl Me H 3l, 60 12 1m C 1 cyclopropyl Me H 3m, 38	4c, 27	
41eNTs1cyclobutylMeH $3e, 70$ 51fNTs1 CH_2CH_2Ph MeH $3f, 23$ 619NTs1cyclopropylEtH $3g, 71$ 71hNTs1cyclopropyl"BuH $3h, 52$ 8^{d} 1iNTs1cyclopropyl $2,4,6$ -TriMePhH $3i, 21$ 91jNTs2cyclopropylMeH $3j, 88$ 101kNBs1cyclopropylMeH $3i, 60$ 1111O1cyclopropylMeH $3n, 38$ 121mC1cyclopropylMeH $3m, 38$	4d , 21	
5 1f NTs 1 CH_2CH_2Ph Me H $3f, 23$ 6 19 NTs 1 cyclopropyl Et H $3g, 71$ 7 1h NTs 1 cyclopropyl "Bu H $3h, 52$ 8^d 1i NTs 1 cyclopropyl 2,4,6-TriMePh H $3i, 21$ 9 1j NTs 2 cyclopropyl Me H $3j, 88$ 10 1k NBs 1 cyclopropyl Me H $3l, 60$ 11 11 O 1 cyclopropyl Me H $3n, 38$ 12 1m C 1 cyclopropyl Me H $3m, 38$	4e , 21	
6 19 NTs 1 cyclopropyl Et H 3g, 71 7 1h NTs 1 cyclopropyl "Bu H 3h, 52 8 ^d 1i NTs 1 cyclopropyl "Bu H 3h, 52 8 ^d 1i NTs 1 cyclopropyl 2,4,6-TriMePh H 3i, 21 9 1j NTs 2 cyclopropyl Me H 3j, 88 10 1k NBs 1 cyclopropyl Me H 3k, 91 11 11 O 1 cyclopropyl Me H 31, 60 12 1m C 1 cyclopropyl Me H 3m, 38	4f, 54	
7 1h NTs 1 cyclopropyl "Bu H 3h, 52 8 ^d 1i NTs 1 cyclopropyl 2,4,6-TriMePh H 3i, 21 9 1j NTs 2 cyclopropyl Me H 3j, 88 10 1k NBs 1 cyclopropyl Me H 3k, 91 11 11 O 1 cyclopropyl Me H 31, 60 12 1m C 1 cyclopropyl Me H 3m, 38	4g , 13	
8 ^d 1i NTs 1 cyclopropyl 2,4,6-TriMePh H 3i, 21 9 1j NTs 2 cyclopropyl Me H 3j, 88 10 1k NBs 1 cyclopropyl Me H 3k, 91 11 11 O 1 cyclopropyl Me H 3l, 60 12 1m C 1 cyclopropyl Me H 3m, 38	4h, 26	
9 1j NTs 2 cyclopropyl Me H 3j, 88 10 1k NBs 1 cyclopropyl Me H 3k, 91 11 11 O 1 cyclopropyl Me H 31, 60 12 1m C 1 cyclopropyl Me H 3m, 38	n. d. ^e	
10 1k NBs 1 cyclopropyl Me H 3k, 91 11 11 O 1 cyclopropyl Me H 31, 60 12 1m C 1 cyclopropyl Me H 3m, 38 12 1m NTr 1 cyclopropyl Me F 20, 000	n. d.	
11 11 O 1 cyclopropyl Me H 31, 60 12 1m C 1 cyclopropyl Me H 3m, 38 12 1m NTr 1 cyclopropyl Me H 3m, 38	n. d.	
12 1m C 1 cyclopropyl Me H 3m, 38 12 1m NTr 1 reducered Me 5 (2) for 2 = 0 (2)	n. d.	
12 In NT- 1 endemand $M_{\rm e}$ (OM- 2-06	n. d.	
15 In N18 1 Cyclopropyl Me $5-0Me$ $3n, 96$	n. d.	
14 1r NTs 1 cyclopropyl Me 5-CI 30 , 92	n. d.	
15 1s NTs 1 cyclopropyl Me 6-F 3p , 91	n. d.	
16 1t NTs 1 cyclopropyl Me 7-Me 3q , 92	n. d.	
17 1u NTs 1 cyclopropyl Me 7-Br 3r , 88	n. d.	

^aThe reaction was conducted with 1 (0.1 mmol), [IPrAuCI]/AgNTf₂ (5 mol %), and 50 mg of 4 Å MS in DCE (1.0 mL). ^bIsolated yield. ^c[Ph₃PAu]NTf₂ was used as the catalyst. ^d71% of starting material 1i was observed. ^eNot determined.

Notably, in the presence of $[IPrAuCl]/AgNTf_2$, the alternative [2 + 2] cyclization could be exclusively achieved with a broad substrate scope (Table 4). Accordingly, the intriguing eight-membered diazoheterocyclic ring compounds **4b**-**4k** with a diverse array of R¹ and R³ groups could be easily obtained in 74–94% yields.

Furthermore, under the standard conditions, different reaction pathways could occur when substrates **1v** and **1w** were utilized. As shown in Scheme 3, as for substrate **1v**, in which the indole functional group was replaced by a pyrrole group, an intramolecular Friedel–Crafts reaction¹⁰ took place smoothly, providing the seven-membered diazoheterocyclic ring product **5** in 95% yield.⁹ At the same time, when substrate

Table 4. Scope of Au-Catalyzed [2 + 2] Cycloaddition



^{*a*}The reaction was conducted with 1 (0.1 mmol), [IPrAuCI]/AgNTf₂ (5 mol %), and 50 mg of 4 Å MS in DCE (1.0 mL). ^{*b*}Isolated yield.

Scheme 3. Different Pathways of Other Substrate 1 under the Standard Conditions



1w with a phenyl group on the allene moiety was employed, a varied intramolecular Friedel–Crafts reaction¹⁰ between the phenyl group and the allene moiety took place, affording the corresponding product **6** in 93% yield.⁹

To illustrate the synthetic utility, several transformations of product 3 were conducted (Scheme 4). Interestingly, a Rh-complex 7 was obtained in 85% yield upon treatment of 3a with $[Rh(CO)_2Cl]_2$ in THF at 60 °C. 3a could also be transformed into compound 8 in 73% yield through a conjugate addition with HOTf (trifluoromethanesulfonic acid) and MVK (methyl vinyl ketone) in DCM. Moreover, a one-pot tandem reaction to transform 1a into compound 9° could be realized in 52% yield

Scheme 4. Transformations of Product 3a



in the presence of $[JohnPhosAu]NTf_2$ and followed by treatment with HOTf and CH_3CN (for more related transformations of 3, see Scheme SI-1 in the Supporting Information).

To verify the Au- and Pt-catalyzed [3 + 2] cycloaddition reaction pathways, deuterium labeling experiments were performed, as shown in Scheme 5. First, treating 1a with





PtCl₂ or [JohnPhosAu]NTf₂ at 70 °C in the presence of D₂O (20.0 equiv), deuterated products [D]-2a and [D]-3a could be formed, suggesting the formation of a metallo-carbon intermediate (Scheme 5a and b).¹¹ While conducting the reactions at 25 °C (room temperature), PtCl₂ showed very low catalytic activity (Scheme 5a). In gold catalysis, 3a was obtained in 40% yield but with no deuterium corporation at 25 °C, indicating that the gold complex prefers to coordinate with the allene moiety instead of C-3 metalation of indole (Scheme 5b). Then, by using [D]-1a in the presence of Pt and Au catalysts, the corresponding adducts [D]-2a and [D]-3a were obtained in 85% yield with 42% D and 93% yield with 49% D content at 70 °C, respectively (Scheme 5c). Furthermore, the different reaction results of substrate 1i under Pt or Au catalysis also revealed that there might be two different reaction mechanisms (Table 2, entry 8 vs Table 3, entry 8).

To further verify these cycloaddition reaction mechanisms, several control experiments were also performed and the results are shown in Scheme 6. First, when substrate 1x with a methyl substituent at the C3 position of the indole ring was examined under the standard reaction conditions, no desired product was detected in the presence of PtCl₂, while cycloadduct 3s was obtained in 70% yield under gold catalysis, which suggested the different catalytic behavior of Pt and Au catalysts (Scheme 6a). Then, when substrate 1a was examined by employing Pt(COD)Cl₂ or Pt(PPh₃)₂Cl₂ as the catalyst, no desired product was obtained, probably due to the steric effect of catalysts (Scheme 6b). Furthermore, we have also treated substrate 1a and the newly synthesized substrate 10 with stoichiometric PtCl₂ or [JohnPhosAu]NTf₂ in nuclear magnetic tubes respectively, so as to find direct evidence of the

Scheme 6. Control Experiments



corresponding metallo-carbon intermediates (Scheme 6c). However, no metallo-carbon intermediate was observed through ¹H NMR spectroscopic analysis in the nuclear magnetic resonance spectrometer at 70 °C, presumably due to the fact that the equilibrium largely leans to the starting materials **1a** or **10** with metal catalyst and a very small trace of the metallo-carbon intermediate was formed (see SI-151–SI-154 in the Supporting Information). At the same time, the desired adducts **2a** and **3a** were obtained in 81% yield and 90% yield, respectively, when substrate **1a** was examined (for more information).

Although the full mechanistic details of these transformations remain to be elucidated, plausible mechanisms for the reactions are outlined in Scheme 7 on the basis of the above deuterium labeling and control experiments and previous reports to date.^{2-4,11,12} For [3 + 2] annulation, both platinum and gold can form a metallo-carbon intermediate **A** via reversible C-3 metalation of indole. The following *cis*-addition to give heterocyclic intermediate **B** only takes place when PtCl₂ is used presumably due to the fact that gold complexes are sterically hindered. The lack of catalytic activity of Pt(COD)Cl₂ and Pt(PPh₃)₂Cl₂ is in accordance with this proposal as well. Then, a Pt-carbene intermediate **C** is generated, following by 1,2-hydride migration and regeneration of catalyst to yield product **2**. As for gold-catalyzed reactions, the coordination of gold complex with allene is a dominant process, though the formation of intermediate **A** is possible. The intramolecular Scheme 7. Proposed Mechanisms for Au- and Pt-Catalyzed Cycloadditions



nucleophilic attack of indole (C3) onto the Au-activated allene A' forms the alkenylgold(I) complex B', which diverges into different products. On one hand, similar to Pt catalysis, the desired adduct 3 is formed by tandem cyclization, hydride migration, and an elimination process through Au-carbene intermediate C' and cationic intermediate D' (path a). The allcarbon quaternary stereocenters in products 2 and 3 were stereoselectively constructed presumably due to the different E and Z configurations of alkenylmetal complexes B and B'. On the other hand, cyclobutane compound 4 is formed via a nucleophilic trap of the iminum intermediate by the C-Au bond (path b). Substrates with a cyclopropyl group (R^1) tend to give [3 + 2] cycloadducts 3 probably because the threemembered ring can significantly stabilize the cationic intermediate D', while substrates with a larger R^2 group disfavored the formation of a metal-carbene intermediate C or C', which led to a lower yield of 2 and 3. In addition, the ligands of the gold complex as well as the steric and electronic properties of the R¹ and R² groups make a combined influence on the selective formation of 3 and 4.

CONCLUSION

We have disclosed the catalyst-dependent stereodivergent and regioselective synthesis of an indole-fused tricyclic system with several contiguous stereocenters through formal cycloadditions of indolyl-allenes in an atom-economical way. The reaction features total reversion of an all-carbon quaternary stereocenter when a gold or platinum complex was employed as the catalyst through [3 + 2] cycloaddition of allene with indole, affording different diazabenzo[*a*]cyclopenta[*cd*]azulenes as epimers, respectively. In addition, in the presence of IPrAuCl and AgNTf₂, highly regioselective *exo*-type [2 + 2] cycloaddition was observed, in which the allene moiety acted as a 2C synthon. Different reaction mechanisms have also been proposed on the basis of deuterium labeling and control experiments. Further investigations on expanding the scope of this reaction toward a

variety of novel and potentially useful polycyclic compounds as well as the applications of this protocol to natural product synthesis are in progress.

EXPERIMENTAL SECTION

General Procedure for the Synthesis of Product 2. To a flame-dried Schlenk tube was added indolyl-allene 1 (0.1 mmol), $PtCl_2$ (0.005 mmol, 5 mol %), 50 mg of 4 Å MS, and the anhydrous solvent DCE (1.0 mL) under argon. Then, the resulting solution was allowed to stir at 70 °C for 12 h. The solvent was removed under reduced pressure, and the residue was purified by flash column chromatography on silica gel to give the desired product 2.

Compound 2a. A white solid, 86% yield (36 mg). Mp 175-178 °C. ¹H NMR (CDCl₂, 400 MHz, TMS) δ 0.45–0.51 (m, 1H, CH₂), 0.61– 0.67 (m, 1H, CH₂), 0.72 (s, 3H, CH₃), 0.75–0.88 (m, 2H, CH₂), 1.50-1.58 (m, 1H, CH), 2.42 (s, 3H, CH₃), 2.46 (dd, J = 11.6 Hz, 11.6 Hz, 1H, CH₂), 3.16 (dd, J = 11.6 Hz, 15.6 Hz, 1H, CH₂), 3.50 $(dd, J = 11.6 Hz, 12.4 Hz, 1H, CH_2), 3.61 (dd, J = 4.0 Hz, 12.4 Hz,$ 1H, CH₂), 3.85 (dd, J = 11.6 Hz, 11.6 Hz, 1H, CH₂), 4.05 (d, J = 8.8 Hz, 1H, CH), 4.18 (d, J = 8.8 Hz, 1H, CH), 4.25 (dd, J = 4.0 Hz, 15.6 Hz, 1H, CH₂), 5.10 (s, 1H, =CH), 6.28 (d, J = 7.6 Hz, 1H, ArH), 6.64 (dd, J = 7.6 Hz, 7.6 Hz, 1H, ArH), 7.08 (dd, J = 7.6 Hz, 7.6 Hz, 1H, ArH), 7.18 (d, J = 7.6 Hz, 1H, ArH), 7.31 (d, J = 8.0 Hz, 2H, ArH), 7.66 (d, J = 8.0 Hz, 2H, ArH). ¹³C NMR (CDCl₃, 100 MHz, TMS) δ 5.9, 7.9, 11.7, 21.5, 23.6, 46.3, 48.3, 52.6, 54.2, 57.6, 74.7, 105.0, 117.3, 123.8, 126.9, 127.5, 128.0, 129.76, 129.8, 136.4, 143.3, 147.0, 152.2. IR (CH₂Cl₂) ν 3033, 2922, 2852, 1728, 1601, 1481, 1456, 1378, 1331, 1303, 1281, 1249, 1234, 1184, 1156, 1118, 1091, 1073, 1019, 1003, 974, 924, 906, 876, 814, 765, 733, 708, 699, 660 cm⁻¹. HRMS (ESI) Calcd for $C_{25}H_{29}N_2O_2S^{1+}$ (M + H)⁺ Requires 421.1944, Found 421.1943.

General Procedure for the Synthesis of Products 3, 5, and 6. To a flame-dried Schlenk tube was added indolyl-allene 1 (0.1 mmol), [JohnPhosAu]NTf₂ (0.005 mmol, 5 mol %), 50 mg of 4 Å MS, and the anhydrous solvent DCE (1.0 mL) under argon. Then, the resulting solution was allowed to stir at 70 °C for 6 h. The solvent was removed under reduced pressure, and the residue was purified by flash column chromatography on silica gel to give the desired cycloadducts 3, 5, or 6.

Compound 3a. A white solid, 93% yield (39 mg). Mp: 130-133 °C. ¹H NMR (CDCl₂, 400 MHz, TMS) δ 0.32–0.43 (m, 2H, CH₂), 0.65-0.73 (m, 2H, CH₂), 1.28-1.36 (m, 4H, CH, CH₃), 2.41 (s, 3H, CH₃), 2.53 (d, J = 13.2 Hz, 1H, CH₂), 2.60 (ddd, J = 2.4 Hz, 12.0 Hz, 14.4 Hz, 1H, CH₂), 3.16 (ddd, J = 2.4 Hz, 12.0 Hz, 14.4 Hz, 1H, CH₂), 3.54 (d, J = 13.2 Hz, 1H, CH₂), 3.75 (dd, J = 14.4 Hz, 14.4 Hz, 1H, CH₂), 3.83 (dd, J = 12.0 Hz, 12.0 Hz, 1H, CH₂), 3.88 (d, J = 8.4 Hz, 1H, CH), 4.40 (d, J = 8.4 Hz, 1H, CH), 4.84 (s, 1H, =CH), 6.26 (d, *J* = 8.0 Hz, 1H, ArH), 6.60 (dd, *J* = 8.0 Hz, 8.0 Hz, 1H, ArH), 7.02 (dd, J = 8.0 Hz, 8.0 Hz, 1H, ArH), 7.21 (d, J = 8.0 Hz, 1H, ArH), 7.29 (d, J = 8.0 Hz, 2H, ArH), 7.64 (d, J = 8.0 Hz, 2H, ArH). ¹³C NMR (CDCl₃, 75 MHz, TMS) & 6.8, 7.4, 9.8, 21.7, 25.2, 50.1, 51.2, 55.0, 55.6, 57.5, 79.8, 105.5, 117.1, 125.0, 126.8, 127.4, 128.0, 129.3, 130.0, 135.3, 143.6, 147.0, 150.5. IR (CH₂Cl₂) v 3022, 2919, 2842, 1730, 1601, 1484, 1452, 1369, 1336, 1288, 1272, 1189, 1160, 1129, 1089, 1013, 957, 942, 904, 870, 814, 737, 723, 661 cm⁻¹. HRMS (ESI) Calcd for $C_{25}H_{29}N_2O_2S^{1+}$ (M + H)⁺ Requires 421.1944, Found 421.1945.

General Procedure for the Synthesis of Product 4. A solution of [IPrAuCl] (0.005 mmol, 5 mol %) and AgNTf₂ (0.005 mmol, 5 mol %) in DCE (0.5 mL) was stirred at room temperature under an argon atmosphere for 15 min. To the solution was added a solution of indolyl-allenes 1 (0.1 mmol) in DCE (0.5 mL), and the reaction mixture was stirred at 70 °C for 12 h. The mixture was concentrated in vacuo to yield the crude product, which was purified by flash chromatography on silica gel to furnish the desired adduct 4 as a solid.

Compound **4a**. A white solid, 26% yield (11 mg). Mp: 131–134 °C. ¹H NMR (CDCl₃, 400 MHz, TMS) δ 0.19–0.31 (m, 2H, CH₂), 0.50–0.65 (m, 2H, CH₂), 1.06–1.12 (m, 1H, CH), 1.48 (s, 3H, CH₃), 2.38–2.45 (m, 4H, CH, CH₃), 2.69 (ddd, J = 3.6 Hz, 7.6 Hz, 11.6 Hz, 1H, CH₂), 2.96 (d, J = 17.2 Hz, 1H, CH₂), 3.44–3.48 (m, 2H, CH₂),

3.66 (dd, J = 3.2 Hz, 8.4 Hz, 1H, CH), 3.86 (ddd, J = 2.8 Hz, 4.4 Hz, 7.6 Hz, 1H, CH₂), 4.23 (d, J = 17.2 Hz, 1H, CH₂), 5.14 (d, J = 8.4 Hz, 1H, CH), 6.29 (d, J = 8.0 Hz, 1H, ArH), 6.57 (dd, J = 8.0 Hz, 8.0 Hz, 1H, ArH), 6.99–7.04 (m, 2H, ArH), 7.34 (d, J = 8.4 Hz, 2H, ArH), 7.72 (d, J = 8.4 Hz, 2H, ArH). ¹³C NMR (CDCl₃, 75 MHz, TMS) δ 3.1, 6.1, 15.8, 16.6, 21.8, 45.1, 45.7, 52.0, 54.4, 56.6, 64.8, 105.9, 117.2, 124.9, 126.9, 127.4, 128.0, 130.1, 132.5, 135.7, 139.3, 143.8, 151.9. IR (CH₂Cl₂) ν 3044, 2922, 2842, 1726, 1603, 1486, 1459, 1444, 1376, 1357, 1338, 1267, 1184, 1158, 1111, 1090, 1013, 917, 876, 815, 737, 706, 655 cm⁻¹. HRMS (ESI) Calcd for C₂₅H₂₉N₂O₂S¹⁺ (M + H)⁺ Requires 421.1944, Found 421.1947.

ASSOCIATED CONTENT

S Supporting Information

Experimental procedures, characterization data, NMR spectra, and crystallographic data (CIF). The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.5b02080.

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Notes

The authors declare no competing financial interest.

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